

Rev. 04/01

Docket No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Penn et al.

Application No.:

09/774,203

Confirmation No.:

Filed:

January 29, 2001

For:

METHODS AND APPARATUS FOR PREDICTING,

CONFIRMING, AND DISPLAYING FUNCTIONAL

INFORMATION DERIVED FROM GENOMIC

SEQUENCE

Group Art Unit :

1645

Hon. Commissioner for Patents c/o P.O. Box 2327 Arlington, VA 22202

TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information

Disclosure Statement in the above-identified application.

This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

(8 Siron Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

& AUGY Zeoz

Daniel M. Becker Reg. No. 38,376

Attorney for Applicants

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Addressed to: Washington, D.C. 20231 CO:

8/2/02 Date of Deposit

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(650) 617-4000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Penn et al.

Application No.: 09/774,203 Confirmation No.: 7320

Filed: : January 29, 2001

For: : METHODS AND APPARATUS FOR PREDICTING,

CONFIRMING, AND DISPLAYING FUNCTIONAL

INFORMATION DERIVED FROM GENOMIC

SEQUENCE

Group Art Unit: 1645

Hon. Commissioner for Patents c/o P.O. Box 2327 Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants hereby make the following documents of record in the above identified application:

Other Documents

Eisen et al., "Cluster Analysis and Display of Genome-Wide Expression Patterns," Proceedings of the National Academy of Sciences of the USA 95: pp. 14863-14868 (1998).

^{*} Applicants reserve the right to challenge the status of any of the cited documents as prior art.

Kern et al., "Direct Hybridization of Large-Insert Genomic Clones on High-Density Gridded cDNA Filter Arrays," Biotechniques 23(1): pp. 120-124 (1997).

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

2 AVG 2002

Dan**ie**l M. Becker Reg. No. 38,376 Attorney for Applicants

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I horeby Certify that thio Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envolces Addressed to: HON. COMMISSIONER FOR PARTIES, Washington, D.C. 20231 on:

Matt Caretto

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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APPLICANT Penn et al.	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.